



City of Rochester

City Clerks Office

Certified Local Law

Rochester, N.Y.

TO WHOM IT MAY CONCERN:

I hereby certify that the following is a true copy of a local law which was duly passed by the Council of the City of Rochester on **December 19, 2017** and **Approved** by the Mayor of the City of Rochester, and was deemed duly adopted on **January 5, 2018** in accordance with the applicable provisions of law.

Local Law No. 3

Local Law amending the Code of Ethics

BE IT ENACTED, by the Council of the City of Rochester as follows:

Section 1. Chapter 755 of the Laws of 1907, entitled "An Act Constituting the Charter of the City of Rochester", as amended, is hereby further amended by amending the following provisions of Section 2-18, Code of Ethics:

A. Subdivision C(6) to read in its entirety as follows:

No City officer or employee shall solicit any gift, nor shall any City officer or employee accept or receive any gift having a value of ~~\$25~~ \$75 or more, regardless of the form of the gift, from any person who has an interest in any matter proposed or pending before any City agency. The provisions of this subsection shall not apply to contributions solicited or received in accordance with the Election Law of the State of New York.

B. In Subdivision G(1), the list of positions required to file financial disclosure statements to read in its entirety as follows:

Mayor

Candidate for Mayor
Deputy Mayor
Director of Special Projects and Educational Initiatives
Executive Staff Assistant IV
Assistant to the Mayor
Member of City Council
Candidate for City Council
City Clerk
Deputy City Clerk
Chief of Staff
Chief Legislative Assistant
Senior Legislative Analyst
Legislative Aide (part-time)
~~Director of Budget and Efficiency~~
Director of the Office of Management and Budget
~~Chief of Performance Accountability and Customer Satisfaction~~
Director of Human Resource Management
Manager of Labor Relations
~~Executive Secretary of the Civil Service Commission~~
Director of Communications
~~Deputy Director/Communications~~
Corporation Counsel
~~Municipal Attorney IV~~
Deputy Corporation Counsel
~~Youth Intervention Supervisor~~
Assistance Manager/Parks & Recreation
Director of the Office of Public Integrity
Manager of Internal Audit
Chief Information Officer
IT Relationship Manager, ~~Back Office~~
IT Relationship Manager, ~~Front Office~~
Technical Infrastructure Service Manager

Business Process Services Manager
Application Services Manager
Information Services Manager
Director of Finance
Deputy Director of Finance
~~Director of Accounting~~
City Treasurer
Deputy City Treasurer
Assessor
Parking Director
Assistant Director of Parking
Purchasing Agent
Commissioner of Neighborhood and Business Development
Neighborhood Service Centers Director
Neighborhood Service Centers Administrator
Director of Development Services
Director of Buildings and Zoning
Manager of Code Compliance
Manager of Planning
Manager of Zoning
Manager of Housing
Director of Real Estate
Manager of Downtown Development
Manager of Contract Services
~~Manager of Small Market Development~~
~~Principal Staff Assistant/Department of Neighborhood and Business
Development~~
Commissioner of Environmental Services
Assistant Commissioner of Environmental Services
City Engineer
Assistant City Engineer
Managing Engineer/Street Design

Managing Engineer/Construction
Director of Operations
~~Assistant Director of Operations~~
Refuse Operations Manager
~~Operations Manager~~
Director of Buildings and Parks
Manager/ of Building Services
Manager/ of Environmental Quality
Cemetery Manager
City Forester
Director of Equipment Services
~~Managing Architect~~
Director of the Water Bureau
Managing Engineer/Water Design
Manager/ of Water Distribution
Manager/ of Water Production
~~Fleet Manager~~
~~Manager of Administrative Services~~
Emergency Communications Center Director
Deputy Director/Emergency Communications Department
Chief of Police
~~Executive Deputy Police Chief~~
Deputy Chief of Police Chief
Deputy Chief of Community Engagement
Police Commander
Manager of Police Property
Senior Property Clerk
Property Clerk
Director of Animal Services
Chief of Security Operations
~~Director, Pathways to Peace~~
Fire Chief

Executive Deputy Fire Chief

Deputy Fire Chief

~~Director of Fire Administration~~

Fire Marshal

Superintendent/ of Fire Equipment Maintenance

Captain in Charge of Supply Depot

Commissioner of Recreation and Youth Services

Deputy Commissioner of Recreation and Youth Services

~~Assistant Commissioner/Recreation~~

~~Assistant Commissioner/Youth Services~~

~~Director/Office of Employment Opportunities~~

Library Director

Assistant Library Director III

Manager/ of Library Finance

Members of City Boards and Commissions, except for the Downtown
Enhancement District Advisory Board and the Environmental Commission

City Appointees to Boards and Commissions, except for the Downtown
Enhancement District Advisory Board and the Environmental Commission

Persons occupying other positions, determined by the Mayor to be policy-making positions in a document transmitted to the Secretary of the Board of Ethics by December 31 of each year, shall also be required to file financial disclosure statements as prescribed herein.

C. The Statement of Financial Disclosure form incorporated into the Charter by Subdivision G(13) and designated as C Attachment 1 is hereby replaced with the following form:

**STATEMENT OF FINANCIAL DISCLOSURE
FOR CALENDAR YEAR 20__**

Rochester City Charter requires all City officials and certain employees to complete a financial disclosure statement each year. You have been identified as occupying a position in which disclosure is mandated. Reference City Charter 2-18., Code of Ethics, Section G-Financial Disclosure. **Please complete this form and return it no later than April 15, 20__.**

Please Complete Electronically, Print or Type - **Sign completed form and return**

Last Name:		First Name:		Middle Initial:	
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Title of Position	
Name of Spouse/Domestic Partner	
Unemancipated Child & Age	

Please answer each question by checking the appropriate box. **If you answer yes to any question, you must complete the corresponding numbered item on Page 2 of this form. Each question refers to the period from January 1, 20 through December 31, 20 .**

1	Did you, or any member of your immediate family, including family members employed by the City, receive compensation from any person or entity that engaged in any transaction or activity with the City?	NO	YES
2	Did you, or any member of your immediate family, have a direct financial interest (including stocks, bonds, mutual funds, partnership interest) in any person or entity that engaged in any transaction or activity with the City?	NO	YES
3	Did you, or any member of your immediate family, serve as an officer, director or trustee of any entity (non-City boards, associations or organizations) that engaged in any transaction or activity with the City?	NO	YES
4	Did you or any member of your immediate family, own or hold an interest in any real estate located in the City of Rochester?	NO	YES
5	Did you or any member of your immediate family, own or hold an interest in any real estate located outside the City of Rochester?	NO	YES

Provide Additional Information for Questions 1 - 5 that were answered "yes"

- Please indicate: a) the name and address of each person or entity from which you or an immediate family member received compensation; b) the name and address of the recipient of that compensation; c) the amount of the compensation using the letter categories specified below and using "U" for an amount that is equal or less than \$1000; and d) the name of each City agency involved in each of the transactions or activities, if known:

2. Please Indicate: a) the name and address of each entity in which you or an immediate family member had a direct financial interest; b) the name and address of the person who holds the direct financial interest; and c) the name of each City agency involved in each of the transactions or activities, if known:

3. Please indicate: (a) the name of the person holding the position (you or an immediate family member); (b) the title of the office, directorship or trusteeship held; (c) the name and address of the entity; and (d) the name of each City agency involved in each of the transactions or activities, if known:

4. Please provide the address or legal description of all real estate located in Rochester in which you or an immediate family member own or hold a direct financial interest and the name of the person (you and/or an immediate family member) who is the owner or interest holder.

5. Please provide the address or legal description of all real estate located outside of Rochester in which you or an immediate family member own or hold a direct financial interest and the name of the person (you and/or an immediate family member) who is the owner or interest holder.

Categories of Amount or Value

In the remaining sections, when asked to indicate the amount or value of the items required to be listed, indicate the amount or value by using the following category title only:

Category A: \$1,001 to \$5,000
Category B: \$5,001 to \$25,000
Category C: \$25,001 to \$50,000

Category D: \$50,001 to \$100,000
Category E: \$100,001 to \$250,000
Category F: Over \$250,000

Identification

In providing the information required to be listed in the remaining sections hereof, the person required to file shall indicate whether a particular item listed pertains solely to such person (P), a spouse (S), or an unemancipated child (U) by printing the appropriate letter at the beginning (i.e. left end) of each line.

Sources of Income, Benefits and Gifts

List by name of donor or payor each and every source of income, benefits and gifts received, or accrued and deferred, during the last calendar year which totaled in aggregate net amount before

- b. List the category of amount or value that best describes as of the filing the sum total of all payments for revolving charges, loans, notes, mortgages, non-escrowed property taxes, and judgments due and owing during the next twelve months.

Associations and Organizations

List by proper name each and every association and organization, of whatever form, whether proprietary or not-for-profit, in which the position of an officer or director was held, or another type of decision-making or policy-making position was held, or in which more than 50% of the stock or partnership interest was held, during the last or current calendar year, if the association or organization, at any time during the last or current calendar year, has had or is reasonably anticipated to have or seek (i) a contract, lease or license with the City; (ii) a regulatory license from the City; or (iii) an application, request, proposal or appeal of a non-ministerial nature before any city officer or agency.

Association and Organization

Position Held

**Nature of Contact
w/City**

Occupational Licenses

List each and every occupational license held during the last or current calendar year.

Post-Government Employment

Describe the terms of, and the parties to, any contract, promise, or other agreement between the person required to file any person, firm, or corporation with respect to the employment of such person after leaving his or her government office or position. If the contract, promise or agreement is in writing, attach a copy.

I hereby swear that the information contained in or attached to this request is true and accurate.

Signature

Date

Section 2. This local law shall take effect immediately upon filing in the Office of the Secretary of State as provided by Section 27 of the NYS Municipal Home Rule Law and the new financial disclosure statement prescribed herein shall first be used in 2018 for reporting on calendar year 2017.

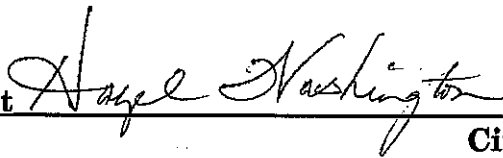
Strikeout indicates deleted text, new text is underlined

Passed by the following vote:

Ayes - President Scott, Councilmembers Clifford, Conklin, Haag, McFadden, Miller, Ortiz, Patterson, Spaul - 9.

Nays - None - 0.

Attest



City Clerk